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# A simultaneous model for ultrasonic aggregate stability assessment

A. Fristensky<sup>a</sup>, M.E. Grismer<sup>b,\*</sup>

<sup>a</sup> Hydrologic Sciences, UC Davis, Davis CA 95616, United States

<sup>b</sup> Departments of LAWR and Biological and Agricultural Engineering, 1 Shields Ave UC Davis, Davis CA 95616, United States

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## ABSTRACT

Aggregate stability is a difficult to quantify, complex soil property. Ultrasonic processing of soil–water suspensions enables quantifiable and readily reproducible assessment of the level of mechanical energy applied to soil aggregates. Here, we present a method of investigating the stability and comminution of soil aggregates by simultaneously modeling the redistribution of particles throughout any arbitrarily-selected set of soil particle-size intervals as ultrasonic energy is applied to a soil–water suspension. Following model development, we demonstrate its application to 5 particle-size subgroups (0.04–2000  $\mu\text{m}$ ) of a Dystrocherept subject to 12 levels of ultrasonic energy between 0 and 5800  $\text{J g}^{-1}$  (750  $\text{mL}^{-1}$ ). Laser granulometry was used for particle-size distribution (PSD) analysis, providing precise, non-disruptive measurements of changes in the volume of PSD subgroups in both the microaggregate (<250  $\mu\text{m}$ ; 3 subgroups) and macroaggregate (>250  $\mu\text{m}$ ; 2 subgroups) fractions throughout ultrasonic treatment. Two groups of aggregates were detected exhibiting significantly ( $p < 0.05$ ) different ultrasonic stability: a group composed exclusively of macroaggregates ranging 250–2000  $\mu\text{m}$  in size, and a finer, relatively stable group ranging 20–1000  $\mu\text{m}$ . The PSD of particles liberated from two aggregate groups significantly ( $p < 0.05$ ) differed: the coarser, less-stable group liberated 13% clay (0.04–2  $\mu\text{m}$ ), 53% fine silt (2–20  $\mu\text{m}$ ), and 34% coarse silt and sand (20–250  $\mu\text{m}$ ); while the finer, more-stable group liberated 26% clay and 74% fine silt. The ultrasonic energy required to disrupt 25%, 50%, and 75% of all aggregates within a given PSD interval significantly ( $p < 0.05$ ) differed between all selected intervals, showing a trend of declining stability with increasing particle-size. Both the flexibility of the proposed model and the extension of ultrasonic stability assessment to simultaneous analysis of both microaggregate and macroaggregate subgroups can facilitate broader application of ultrasonic methods to soil processes related research.

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## 1. Introduction

Aggregate stability is a highly complex parameter influencing a wide range of soil properties, including carbon stabilization, soil porosity, aeration, compactibility, crustability, water retention, hydraulic conductivity, and resistance to detachment and transport by wind, raindrop impact, and overland flow. A variety of techniques have been developed for measuring this parameter (Amezketta, 1999). Among these, ultrasonic processing of soil–water suspensions has attracted considerable investigation (North, 1976; North, 1979; Imeson and Vis, 1984; Fuller and Goh, 1992; Levy et al., 1993; Raine and So, 1993; Raine and So, 1994; Tippkotter, 1994; Field and Minasny, 1999; Field et al., 2006). In contrast to most conventional methods, the ability to quantify the level of mechanical energy applied to soil (North, 1976; Raine and So, 1993) enables the results of ultrasonic stability tests to be quantified and compared in a continuous index of treatment intensity. Also, ultrasonic processing allows considerable control and flexibility over both the power and total energy of application. This allows aggregate comminu-

tion to be observed and modeled over a desired range of applied energy, offering the advantage of representing aggregate stability as a rate of resistance to fragmentation, rather than as the fraction of aggregates remaining following a treatment of often arbitrary intensity or duration. Furthermore, simultaneously modeling the comminution of aggregates in more than one range of aggregate particle-sizes (e.g. <2  $\mu\text{m}$ , 2–20  $\mu\text{m}$ , 20–2000  $\mu\text{m}$ ) can offer insight into aggregate comminution dynamics and aggregate hierarchy (Field and Minasny, 1999; Field et al., 2006).

This study presents a model of the redistribution of particles throughout a soil particle-size distribution (PSD) as aggregates comminute under ultrasonic agitation. The proposed model enables investigation of the stability, component PSD, and hierarchy of soil aggregates by simultaneously modeling total mass changes in any selected set of PSD partitions (i.e.,  $[x_1, x_2]$ ,  $[x_2, x_3]$ , ...,  $[x_n, x_{n+1}]$ ) as ultrasonic energy is applied to a soil–water suspension. The goal of this development is to enhance the flexibility and resolution of ultrasonic aggregate stability assessment. The model is also intended to be universally applicable. That is, it does not presume studied aggregates to possess a particular hierarchical structure, or to comminute according to a particular pathway under ultrasonic agitation (Field and Minasny, 1999; Field et al., 2006). The model also allows for the possibility that aggregate fragmentation may

\* Corresponding author. Tel.: +1 530 752 3243 (off.).

E-mail addresses: [afristensky@ucdavis.edu](mailto:afristensky@ucdavis.edu) (A. Fristensky), [megrismer@ucdavis.edu](mailto:megrismer@ucdavis.edu) (M.E. Grismer).

directly release both aggregates and primary particles. Similar to previous ultrasonic studies that used exponential functions to model aggregate breakdown (Fuller and Goh, 1992; Levy et al., 1993; Raine and So, 1993; Raine and So, 1994; Tippkotter, 1994; Raine and So, 1997), and following Field and Minasny (1999) and Field et al. (2006) who modeled aggregate comminution according to an analogue of a first-order consecutive reaction, we assume that the breakdown of a quantity of aggregates (of equal stability) under ultrasonic agitation follows first-order decay. However, the proposed approach differs from previous studies in that aggregates are classified according to their observed resistivity to ultrasonic agitation, rather than according to particle-size. This can facilitate detection and modeling of distinct aggregate groups of similar stability that possess (along with their liberated fragments) PSDs that overlap the selected set PSD partitions. This approach also accounts for the possibility that groups of aggregates with distinctly different stability (as well as their liberated fragments) may possess PSDs which overlap, or fall within the same selected PSD partition(s).

In addition to proposing an ultrasonic aggregate comminution model, we consider use of ultrasonic methods for targeted analysis of aggregate particle-size subgroups in both the macroaggregate (>250  $\mu\text{m}$ , e.g. 1000–2000  $\mu\text{m}$ ) and microaggregate (<250  $\mu\text{m}$ ) fractions. While most ultrasonic aggregate stability studies have used ultrasound to disrupt both macroaggregates and microaggregates, the PSD fractions subject to analysis have invariably fallen partly or entirely within the microaggregate fraction (e.g. 20–2000  $\mu\text{m}$ ). However, aggregate stability varies significantly with particle-size and hierarchical order (Edwards and Bremner, 1967; Braunack et al., 1979; Tisdall and Oades, 1982; Dexter, 1988; Oades and Waters, 1991), and aggregates may liberate a range of particle-sizes. High PSD resolution across both the microaggregate (Levy et al., 1993; Field et al., 2006) and macroaggregate fractions is therefore desirable for characterizing aggregate stability and comminution. However, the classical methods of PSD determination – the pipette and hydrometer methods (Gee and Bauder, 1986) – have limited capacity for resolving PSD intervals, and are highly sensitive to laboratory technique and operator error (Beuselink et al., 1998; Eshel et al., 2004). Moreover, the technique employs sieving to separate larger, rapidly settling particles from clay and silt particles. Sieving imparts an unquantifiable mechanical stress to the soil, and thus separating macroaggregate subgroups would partly negate one of the principle benefits of using ultrasound to disrupt aggregates (i.e., quantifiable energy application). To circumvent these limitations, we employ the laser-light diffraction technique for PSD analysis (Eshel et al., 2004). The laser diffraction technique can be used to perform precise, virtually non-disruptive analysis of soil-water suspensions, and enables calculation of an essentially continuous soil PSD. Morra et al. (1991) and Levy et al. (1993) employed the laser-light diffraction technique to measure changes in the PSD of the silt-sized and <105  $\mu\text{m}$  fractions, respectively, across different levels of applied ultrasonic energy. Here, we expand the analysis to include discrete microaggregate and macroaggregate subgroups between 0.04 and 2000  $\mu\text{m}$ . Considering that aggregate stability assessment is usually conducted in the context of soil erosion research, targeted investigation of macroaggregate fractions – important to soil hydraulic conductivity and vulnerable to raindrop impact and tilling – can offer a useful enhancement to ultrasonic aggregate stability assessment.

Following a detailed presentation of the proposed modeling approach below, we illustrate an application of the proposed model to a Dystrochrept subject to various levels of ultrasonic agitation. The model is applied to experimental data representing the volume of particles within each of 5 PSD intervals – [0.04–2  $\mu\text{m}$ ], [2–20  $\mu\text{m}$ ], [20–250  $\mu\text{m}$ ], [250–1000  $\mu\text{m}$ ], and [1000–2000  $\mu\text{m}$ ] – throughout ultrasonic treatment. These particular intervals were selected to generally correspond with previous aggregate stability studies, as well as the aggregate hierarchy model proposed by Tisdall and Oades (1982), and the functional classification of soil particle-sizes presented in Oades (1984).

## 2. Methods and materials

### 2.1. Model development

As shockwaves generated from ultrasound-induced cavitation propagate throughout a soil–water suspension, the bonds cohering discrete soil particles into aggregates may become disrupted, leading to aggregate fragmentation. If the liberated particles consist of yet smaller aggregates, these may continue to break down under added stress. This process of aggregate disruption continues until the state of complete soil fragmentation and dispersion into primary particles (clay, silt, sand) is reached, or until the point at which the power applied is inadequate to overcome the strength of the remaining aggregate bonds. If a hierarchy exists, an inverse relationship between aggregate order and strength may be explained by the ‘porosity exclusion principle’ (Dexter, 1988) which holds that superordinate aggregates have greater porosity than subordinate aggregates due to pore spaces existing between the smaller, denser constituent particles. These pores are planes of weakness that increase aggregate susceptibility to fragmentation when mechanical stress is applied (Braunack et al., 1979; Utomo and Dexter, 1981). Aggregate stability also depends upon the different types of bonding mechanisms operating across different size scales. For example, ramifying plant roots or mycorrhizal hyphae may enmesh soil particles together into macroaggregates (>250  $\mu\text{m}$ ); plant debris and polysaccharides excreted by bacteria, fungi and roots may be important in the formation and binding of microaggregates (<250  $\mu\text{m}$ ); and clay flocculation along with polyvalent cation bridging of clay with recalcitrant, decomposed organic matter are important binding agents at the <20  $\mu\text{m}$  scale (Tisdall and Oades, 1979; Oades, 1984; Oades and Water, 1991).

Considering the observed link between aggregate strength, size, and prevailing bonding mechanisms, it seems reasonable to expect that a group of aggregates characterized by a common set of binding agents may exhibit a similar resistance to disruption by ultrasonic agitation. Building upon this concept, Fig. 1 illustrates a framework for describing aggregate comminution by organizing aggregates into groups, or “cohorts”, according to their observed resistivity to ultrasonic agitation. Note that the y-axis in Fig. 1 is positive in both directions from the origin to allow for a more convenient display of information.

The x-axis represents particle diameter ( $\mu\text{m}$ ). The illustrated curves represent PSDs as the differential mass of particles of size  $x$  relative to the total mass of particles in that group. Curves shown below the y-axis (1, 2, 3) represent groups of aggregates (“cohorts”) defined by a characteristic rate of breakdown. Curves above the origin (I, II, III) represent the distribution of discrete particles (aggregates and primary particles) liberated from aggregate cohorts. The dashed arrows between the curves denote the relationship between a given cohort and its liberated particles. For example, 1  $\rightarrow$  I represents the breakdown of cohort 1 aggregates to yield the distribution, II, of liberated discrete particles. Aggregates of cohort 2 include all aggregates falling under the definition of cohort 2 prior to disturbance, whether these exist discretely, or are initially assimilated into aggregates of higher hierarchical order(s). Conversely, the distribution II is defined as the size distribution of all discrete particles, including aggregates, liberated from cohort 2 aggregates upon fragmentation. More precisely, II is the distribution of particles that would occur if cohort 2 was isolated, and thereafter only cohort 2 aggregates (but not their liberated aggregates) were permitted to break down. Note, no hierarchical ordering is implied by the lettering of cohorts 1, 2, 3, etc. Particles liberated from a given aggregate are permitted to include discrete subordinate aggregates belonging to any number of cohorts.

It is also important to note that this model of aggregate breakdown assumes that all aggregates – whether initially assimilated into larger aggregates or existing discretely – are continuously agitated and subject to fragmentation throughout the applied ultrasonic treatment. This assumption would not account for the possibility that

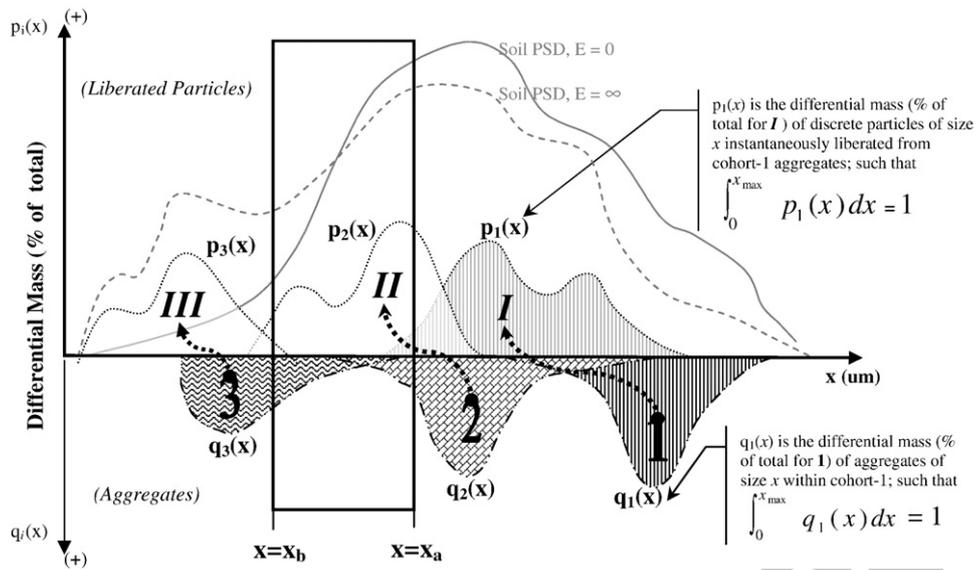


Fig. 1. Conceptual framework for describing aggregate comminution, illustrating arbitrary distributions of aggregates and their fragments.

microaggregates bound in the interior of a larger aggregate may be shielded from agitation until they are exposed or liberated. In other words, this approach does not incorporate the concept of a threshold level of ultrasonic energy needed to initiate the comminution of aggregates of a particular size or stability.

The functions  $q_i(x)$  (noted in Fig. 1) represent the differential mass of aggregates of size  $x$  relative to the total mass of aggregates composing the  $i$ th cohort ( $i=1, 2, \dots, n$ ). That is,  $q_2(x)$  describes the size distribution of cohort 2 aggregates, such that  $\int_0^{x_{max}} q_2(x) dx = 1$ , where  $x_{max}$  is the maximum particle-size diameter of the soil subject to analysis. Similarly, the functions  $p_i(x)$  are the differential mass of particles of size  $x$  liberated from the  $i$ th cohort relative to the total mass of particles liberated from the  $i$ th cohort; i.e.  $p_2(x)$  describes the distribution II, such that  $\int_0^{x_{max}} p_2(x) dx = 1$ . Overlap is possible between the domains of two or more different  $q_i(x)$ , reflecting the concept that the size ranges at which a given set of aggregate bonding agents operate may extend into range at which different agents also operate.

With these definitions and notation, we present a stepwise construction of an equation that models aggregate comminution by measuring changes in the total mass of an arbitrarily-selected interval  $[x_b, x_a]$  of a soil PSD as ultrasonic energy ( $E$ ) is applied. This interval is outlined in Fig. 1, and illustrated in greater detail in Fig. 2. Note that the curves representing cohort 2 in Fig. 2 are conceptually identical as those of Fig. 1, except that they denote the absolute (rather than relative) differential mass of aggregates and liberated particles of a given size  $x$ . Essentially, the problem to be solved is a mass-balance equation of inputs and loss of material from the range  $[x_b, x_a]$  as aggregates break down. For clarity, first only changes in  $[x_b, x_a]$  occurring as a consequence of the breakdown of cohort 2 aggregates will be modeled – these results will then be extended to encompass all aggregates.

Suggested by Fig. 2, the total mass of particles of size  $x_b < x < x_a$  liberated from cohort 2 aggregates can be represented as

$$b_2 \int_{x_b}^{x_a} p_2(x) dx = b_2 \left[ \int_{x_b}^{x_a} q_2(x) \theta(x) dx + \lambda \int_{x_a}^{x_{max}} q_2(x) (1 - \phi(x)) dx \right] \quad (1)$$

where  $b_2$  is the total mass of cohort 2 aggregates;  $\theta(x)$  is the mass proportion of particles of size  $x_b < x < x_a$  liberated from aggregates of size  $x \in [x_b, x_a]$ ;  $\phi(x)$  is the mass proportion of particles of size  $x_a < x < x_{max}$  liberated from aggregates of size  $x \in [x_a, x_{max}]$ ; and  $\lambda$  is the mass proportion of all liberated particles of size  $x < x_a$  that are of size  $x > x_b$ , obtained from aggregates of size  $x > x_a$ . Dashed arrows representing  $\theta(x)$ ,  $\phi(x)$ , and  $\lambda$  are shown in Fig. 2.

However, it is clear from inspection of Fig. 2 that the first of the two terms on the right-hand side of Eq. (1) has no net impact on the total mass of the interval  $[x_b, x_a]$ , because the mass  $b_2 q_2(x) \theta(x)$  is neither contributed to, or lost from, this interval. This observation is important to consider when reporting calculated total mass of aggregated particles, as it indicates that the total observed loss of material within a PSD interval due to aggregate comminution is always less than or equal to the actual initial amount of material composing aggregates within that interval. However, the magnitude of errors due to this effect is expected to decline as the width of selected PSD intervals is reduced.

To preclude misleading reporting of total aggregated material, the system of notation developed above will be modified to reflect only measurable changes in the total mass of  $[x_b, x_a]$  due to aggregate comminution. First, the particle-size intervals  $[x_a, x_{max}]$ ,  $[x_b, x_a]$ , and  $[0, x_b]$  will hereafter be called “tiers” A, B, and C, respectively, as illustrated in Fig. 2. The term  $q_{2A}(x) \equiv (1 - \phi(x)) q_2(x) \in [x_a, x_{max}]$  is introduced to be analogous to  $q_2(x)$  but reflecting the distribution of mass of particles of size  $x < x_a$  assimilated into tier A aggregates. Similarly,  $q_{2B}(x) \equiv (1 - \theta(x)) q_2(x) \in [x_b, x_a]$  is the distribution of mass of particles of size  $x < x_b$  assimilated in tier B aggregates. Also, the term  $p_{2A}(x), x \in [0, x_a]$  is introduced to be analogous to  $p_2(x)$  but reflecting the size distribution of particles of size  $x < x_a$  liberated from tier A aggregates; and similarly for  $p_{2B}(x)$  with respect to particles of size  $x < x_b$  liberated from tier B aggregates. Hypothetical curves representing the  $b_2(q_{2A}(x))$ ,  $b_2(q_{2B}(x))$ , and  $b_2(p_{2A}(x))$  are shown in Fig. 2.

With these definitions, the right-hand side of Eq. (1) can be rewritten to reflect only the mass of liberated particles of size  $x_b < x < x_a$  that have a measurable impact (contribution) on the total mass of tier B:

$$b_2 \left[ 0 + \lambda \int_{x_a}^{x_{max}} q_2(x) (1 - \phi(x)) dx \right] = b_2 \lambda \int_{x_a}^{x_{max}} q_{2A}(x) dx = b_2 \int_{x_b}^{x_a} p_{2A}(x) dx, \quad (2)$$

where  $b_{2A} = b_2 \int_{x_a}^{x_{max}} q_{2A}(x) dx$ .

Similarly, a term can be obtained representing the total measurable loss of mass from tier B due to comminution of tier B aggregates:

$$b_2 \int_{x_b}^{x_a} q_2(x) (1 - \theta(x)) dx = b_2 \int_{x_b}^{x_a} q_{2B}(x) dx = b_{2B}. \quad (3)$$

Having obtained terms representing the total input and loss of mass from tier B due to aggregate comminution, the instantaneous mass of tier B at a given level of applied ultrasonic energy,  $E$ , can be obtained by incorporating terms describing the rate at which these

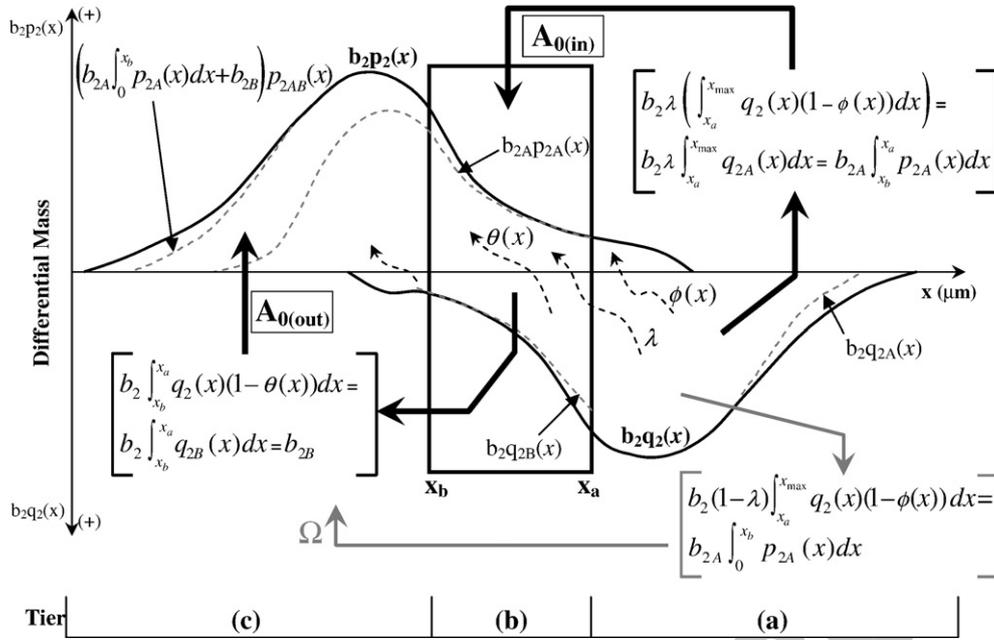


Fig. 2. Illustration of the redistribution of particles throughout arbitrary PSD partitions due to ultrasonic agitation. Shown in bold arrows are the measurable total gain ( $A_{0(in)}$ ) and loss ( $A_{0(out)}$ ) of mass of the PSD interval  $[x_b, x_a]$  (tier B) due to aggregate comminution.

aggregates break down under ultrasonic agitation. Similar to Field and Minasny (1999) and Field et al. (2006), we assume that for a quantity of aggregates (of equal stability) the disintegration of aggregated particles A into fragments F, or  $A \rightarrow F$ , with increasing E follows first-order decay. However, we are interested in the rate of contribution of F to tiers B and C. Considering the reaction  $A \rightarrow F$ , the rate of change of the total quantity of fragments is equal but opposite to the rate of change in the total quantity of aggregated particles. Integrating, an exponential expression describing the total quantity of fragments F liberated from aggregates at any level of E is obtained:

$$F(E) = F_0 + A_0(1 - e^{-aE}). \quad (4)$$

Eq. (4) is identical in form to the model employed by Raine and So (1993). In this context, however, the parameter  $F_0 = F(0) = 0$  indicates that no particles have been liberated prior to the application of energy.

Letting  $A_{0(in)}$  and  $A_{0(out)}$  be defined as the total mass of aggregate fragments contributed to, and lost from, tier B during aggregate comminution (respectively), the cumulative mass of fragments contributed to ( $F(E)_{in}$ ) and lost from ( $F(E)_{out}$ ) tier B at a given level E can be described:

$$F(E)_{(in)} = A_{0(in)}(1 - e^{-a_2E}) = \left( b_{2A} \int_{x_b}^{x_a} p_{2A}(x) dx \right) (1 - e^{-a_2E}) \quad (5)$$

$$F(E)_{(out)} = A_{0(out)}(1 - e^{-a_2E}) = b_{2B}(1 - e^{-a_2E}) \quad (6)$$

where  $a_2$  is the rate constant associated with cohort 2 aggregates. The quantities  $A_{0(in)}$  and  $A_{0(out)}$  are illustrated in Fig. 2. The total mass of particles within tier B, or  $D_{|B|}(E, x)$ , at a given level E can thus be expressed:

$$D_{|B|}(E, x) = F(E)_{in} - F(E)_{out} + D_{0B} = \left[ b_{2A} \int_{x_b}^{x_a} p_{2A}(x) dx - b_{2B} \right] (1 - e^{-a_2E}) + D_{0B} \quad (7)$$

where  $D_{0B}$  is the initial mass of tier B. However, as will become clear during the following development of a simultaneous system of equations

representing the mass of multiple tiers, a more convenient parameterization of Eq. (7) is

$$D_{|B|}(E, x) = \left[ b_{2A} \left( \int_0^{x_a} p_{2A}(x) dx - \int_0^{x_b} p_{2A}(x) dx \right) - b_{2B} \right] (1 - e^{-a_2E}) + D_{0B} = \left[ b_{2A} \left( 1 - \int_0^{x_b} p_{2A}(x) dx \right) - b_{2B} \right] (1 - e^{-a_2E}) + D_{0B} \quad (8)$$

This form provides a term that accounts for the particles liberated from tier A aggregates that fall within tier C but not tier B, as illustrated by the quantity  $\Omega$  in Fig. 2.

Finally, as the effect of the breakdown of aggregate cohorts upon the mass of tier B is additive, a model of the form (8) describing the effect of all cohorts on the mass of tier B can be obtained by repeating the same procedure above for each cohort, and summing each result. In summation notation, this model is:

$$D_{|B|}(E, x) = D_{0B} + \sum_{i=1}^n \left[ b_{iA} \left( 1 - \int_0^{x_b} p_{iA}(x) dx \right) - b_{iB} \right] (1 - e^{-a_iE}). \quad (9)$$

A simultaneous system of equations based on Eq. (9) is now developed in order to simultaneously model changes in the total mass of any arbitrary set of PSD tiers (e.g. tiers A, B, C, D, etc.) due to comminution of aggregates under ultrasonic agitation. The basic problem is identical to that of Eq. (9) i.e. a mass-balance of particles contributed to and lost from a given tier but with the added complexity of tracking particles across multiple tiers. In fact, Eq. (11) already implicitly expresses behavior of three adjacent tiers – tiers A, B, and C – although only changes in tier B are explicitly stated. The mass of these three tiers at a given level of E is represented by the following system of equations:

$$D_{|A|}(E, x) = \delta_{0A} + \sum_{i=1}^n b_{iA} e^{-a_iE} \quad (10)$$

$$D_{|B|}(E, x) = D_{0B} + \sum_{i=1}^n \left[ b_{iA} \left( 1 - \int_0^{x_b} p_{iA}(x) dx \right) - b_{iB} \right] (1 - e^{-a_iE})$$

$$D_{|C|}(E, x) = D_{0C} + \sum_{i=1}^n \left[ b_{iA} \left( \int_0^{x_b} p_{iA}(x) dx \right) + b_{iB} \right] (1 - e^{-a_iE})$$

where  $\delta_{0A}$  is the total mass of primary particles within tier A. This system expresses the loss of material from tier A (i.e.  $b_{iA}$ ) occurring at

t1.1 **Table 1**  
Summary of site information and soil characteristics (Soil Survey Staff, 2007)

t1.2	Series	Location (WGS 84)	Elevation (m)	Aspect	Slope (deg)	Taxonomy	Surface texture
t1.3	Tallac	39 11' 33.6"N, 120 13' 02.4"W	2040	NW	20	Loamy-skeletal, mixed, superactive, frigid humic Dystrochrepts	Gravelly coarse sandy loam

336 rate  $a_i$ ; a contribution of some proportion of this material to tier B, offset by  
 337 the breakdown of tier B aggregates ( $b_{iB}$ ); and an increase in the mass of tier  
 338 C due to contributions from tiers A and B (i.e., the quantities  $\Omega_i$  and  
 339  $A_0A_{0i(out)}$  illustrated for the single-cohort scenario in Fig. 2). Note that the  
 340 parameters  $b_{iA}$  and corresponding  $a_i$  are represented in tiers A, B, and C;  
 341 and that the parameters  $b_{iB}$  and corresponding  $a_i$  are represented in B and  
 342 C. In the context of nonlinear regression, the parameters to be estimated  
 343 include the  $a_i$ ,  $b_{ij}$  for the  $j$ th tier, integrals of the  $p_{iA}(x)$ ,  $\delta_{0A}$  and the  $D_{0j}$ .  
 344 To extend this development to a 4-tier system, consider the case in  
 345 which tier C has a nonzero lower bound,  $x_c$ . Let tier D represent the fraction  
 346  $[0, x_c]$ . The change in volume of tiers C and D with applied energy would  
 347 then be:

$$D_{|C|}(E, x) = D_{0C} + \sum_{i=1}^n \left[ \left( b_{iA} \left( \int_0^{x_b} p_{iA}(x) dx \right) + b_{iB} \right) \times \left( 1 - \int_0^{x_c} p_{iAB}(x) dx \right) - b_{iC} \right] (1 - e^{-a_i E})$$

$$D_{|D|}(E, x) = D_{0D} + \sum_{i=1}^n \left[ \left( b_{iA} \left( \int_0^{x_b} p_{iA}(x) dx \right) + b_{iB} \right) \left( \int_0^{x_c} p_{iAB}(x) dx \right) + b_{iC} \right] (1 - e^{-a_i E})$$

where  $p_{iAB}(x) = \frac{b_{iA} p_{iA}(x) + b_{iB} p_{iB}(x)}{b_{iA} \left( \int_0^{x_b} p_{iA}(x) dx \right) + b_{iB}}$  (11)

350 Due to the fact that the mass  $b_{iA} \int_0^{x_b} p_{iA}(x) dx$  and  $b_{iB}$  are distributed  
 351 to the  $<x_b$  fraction at the same rate ( $a_i$ ) for the  $i$ th cohort, it is not  
 352 possible to distinguish between  $p_{iA}(x)$  and  $p_{iB}(x)$  by measuring total  
 353 mass changes in the  $<x_b$  fraction. Hence, the terms  $p_{iAB}(x)$ ,  $x \in [0, x_b]$   
 354 are introduced to represent the combined distribution of these  
 355 particles. In other words,  $\int_0^{x_c} p_{iAB}(x) dx$  represents the proportion of  
 356  $i$ th cohort liberated particles of size  $x < x_b$  that are also smaller than  
 357  $x_c$ . Fig. 2 illustrates a curve representing the  $p_{iAB}(x)$ , indicated in the  
 358 upper left-hand corner. Note that if the  $i$ th cohort is represented in tier  
 359 B but not tier A, then  $p_{iAB}(x) = p_{iB}(x)$ , because  $p_{iA}(x) = 0$  for all  $x$ .

360 Expansion of the system to any number of tiers can be accomplished  
 361 according to the same rationale employed to obtain the 4-tier system  
 362 above. For instance, analogous to the  $p_{iAB}(x)$  for the 4-tier system, a 5-tier  
 363 system must include the terms  $p_{iABC}(x)$  must be introduced, to represent  
 364 the combined distribution of particles  $<x_c$ , liberated from all  $i$ th cohort  
 365 aggregates  $>x_c$ . The example analysis below employs a 5-tier system.

366 As this development illustrates, the model rapidly increases in  
 367 complexity with each additional tier; and hence the number of tiers that  
 368 can be practicably modeled is limited. Also, an unavoidable limitation of  
 369 the model is that only net changes of mass within each tier can be  
 370 detected, such that if particles are being contributed and lost from a given  
 371 tier at the same rate (i.e. associated with the same cohort), the latter mass  
 372 cannot be detected if it is smaller than the mass being contributed. If this  
 373 "replacement" is occurring to a significant degree, the effect would be (1)  
 374 smaller estimates of cohort mass; and (2) calculation of a finer distribution  
 375 of liberated particles, and a coarser distribution of aggregate sizes, than the  
 376 actual distributions of the given cohort (Fristensky, 2007).

377 **2.2. Site**

378 The soil investigated in this study was obtained in the Lake Tahoe  
 379 Basin, California, U.S.A., from a forested slope located within the Resort at

Squaw Creek complex in the South Fork Squaw Creek Watershed. The 380  
 sample site is a research plot monitored by Integrated Environmental 381  
 Restoration Services (IERS, Tahoe City, CA), as part of ongoing erosion 382  
 abatement research. Local vegetation included white fir (*Abies concolor*), 383  
 and pinemat manzanita (*Arctostaphylos nevadensis*), along with winter- 384  
 green (*Pyrola picta*) and lousewort (*Pedicularis semibarbata*) (Integrated 385  
 Environmental Restoration Services (IERS), 2007). A summary of soil site 386  
 information and soil characteristics is presented in Table 1 (Soil Survey 387  
 Staff, 2007). 388

Soil sampling was conducted in late August, 2006. Average soil 389  
 moisture at time of sampling was measured by time-domain 390  
 reflectometry (TDR) at approximately 10 cm depth. Three samples of 391  
 approximately 500 g were obtained from the surface soil within a 392  
 400 ft<sup>2</sup> area to an approximate depth of 8–10 cm (excluding litter 393  
 layer). The three soil samples allowed to air-dry, then gently dry- 394  
 sieved to 2 mm, homogenized, and sealed at room temperature until 395  
 analysis. The oven-dry (24 h at 105 °C) weight of the soil was 396  
 determined to calculate the hygroscopic moisture content under 397  
 laboratory conditions. Soil organic matter (Walkley–Black method) 398  
 and soil pH was determined by the University of California 399  
 Agricultural and Natural Resources lab. Table 2 reports the selected 400  
 physical and chemical properties of the prepared soil. 401

402 **2.3. Ultrasonic processing**

Ultrasonic processing of soil samples was based closely upon the 403  
 method and experimental investigations presented in Raine and So 404  
 (1993, 1994). Ultrasonic processing was conducted using a Vibra-Cell® 405  
 VCX-130, operating at 20 kHz with a maximum power output of 130- 406  
 Watts, and using a 113 mm length, 6 mm diameter titanium-alloy 407  
 probe. Subsamples of 4 g oven-dry equivalent weight each were 408  
 processed in 45 mL centrifuge tubes (1.5 cm radius) in 31 mL of 409  
 deionized (DI) water. Samples were rapidly immersed in DI water 30- 410  
 60 min before processing. The ultrasonic probe was inserted into the 411  
 soil suspension to a depth of 1.43 cm, with the probe centerline 0.6 cm 412  
 from the container wall. During ultrasonification, subsamples were 413  
 insulated with a 0.25 cm-thick polyurethane foam sheath tightly set 414  
 within a polystyrene block with holes for the ultrasonic probe and 415  
 temperature probe. 416

Ultrasonification of soil suspensions was conducted at constant 417  
 amplitude for 12 different time periods between 0 and 1650 s (Table 3) 418  
 in order to obtain a measure of the soil disruption over a wide range of 419  
 applied energies. Three repetitions were performed for each period of 420  
 applied energy. Processor amplitude was held constant at 65%, which 421  
 was qualitatively determined to be the minimum level able to produce 422  
 enough mixing to maintain circulation of the largest sand-sized 423  
 particles. This amplitude applied  $14.2 \pm 0.2$  W (SE) of ultrasonic energy 424  
 to the soil–water suspension, measured calorimetrically (Raine and 425  
 So, 1993). Suspension temperature was maintained within the range 426  
 of 20–35 °C by cooling suspensions to 20 °C in an ice bath after each 427  
 150-second period of applied energy (Raine and So, 1994). 428

Suspension temperature was measured during ultrasonic proces- 429  
 sing with a 24.5 cm, 0.318 cm diameter bendable 3-pin RTD integral- 430  
 handle temperature probe, and a Digi-Sense® (Cole-Parmer Instru- 431  
 ment Co, Vernon Hills, IL) ThermologR™ digital RTD thermometer. 432

t2.1 **Table 2**  
Selected properties of prepared sample

t2.2	% Clay <sup>a</sup> (0.04–	% Silt <sup>a</sup> (2–	% Sand <sup>a</sup> (63–	% Soil moisture at time of sampling (TDR)	Total organic matter (%, Walkley–Black)	pH
t2.3	2 μm)	63 μm)	2000 μm)	5	15.2	5.5
t2.4						
t2.5	11.6	67.6	20.8	5	15.2	5.5

<sup>a</sup> Reported PSD data reflects the soil state following ultrasonic treatment at the highest level of applied energy. No chemical dispersing agents were used. t2.6

**Table 3**  
Sample PSD at different levels of treatment time (*t*) or energy (*E*)

PSD interval ( $\mu\text{m}$ )	Average volume (% of total soil) of PSD interval ( $\pm\text{SD}$ )					
<i>t</i> (s)	<i>E</i> ( $\text{J g}^{-1}$ ( $\text{J mL}^{-1}$ ))	1000–2000	250–1000	20–250	2–20	0.04–2
0	0 (0)	9.9 ( $\pm 1.3$ )	29.3 ( $\pm 0.4$ )	48.2 ( $\pm 0.6$ )	10.5 ( $\pm 0.5$ )	2.1 ( $\pm 0.1$ )
30	108 (14)	6.8 ( $\pm 1.0$ )	22.1 ( $\pm 1.0$ )	51.3 ( $\pm 1.0$ )	16.4 ( $\pm 0.6$ )	3.4 ( $\pm 0.2$ )
60	215 (28)	3.9 ( $\pm 1.0$ )	17.8 ( $\pm 0.5$ )	53.3 ( $\pm 0.2$ )	20.5 ( $\pm 0.6$ )	4.4 ( $\pm 0.2$ )
90	321 (41)	3.1 ( $\pm 1.9$ )	15.5 ( $\pm 1.0$ )	53.6 ( $\pm 2.3$ )	22.8 ( $\pm 0.5$ )	5.1 ( $\pm 0.2$ )
150	532 (69)	1.1 ( $\pm 0.4$ )	13.7 ( $\pm 0.6$ )	53.0 ( $\pm 1.4$ )	26.2 ( $\pm 0.4$ )	6.1 ( $\pm 0.2$ )
210	746 (96)	0.2 ( $\pm 0.2$ )	12.1 ( $\pm 1.2$ )	52.9 ( $\pm 0.3$ )	28.2 ( $\pm 1.1$ )	6.7 ( $\pm 0.3$ )
330	1170 (151)	0.3 ( $\pm 0.3$ )	11.8 ( $\pm 2.6$ )	50.7 ( $\pm 1.0$ )	29.9 ( $\pm 2.6$ )	7.3 ( $\pm 0.6$ )
450	1593 (206)	0.2 ( $\pm 0.2$ )	10.5 ( $\pm 1.4$ )	49.2 ( $\pm 1.0$ )	32.0 ( $\pm 1.3$ )	8.2 ( $\pm 0.3$ )
690	2444 (315)	0.01 ( $\pm 0.01$ )	8.4 ( $\pm 1.4$ )	47.2 ( $\pm 0.4$ )	35.2 ( $\pm 1.4$ )	9.2 ( $\pm 0.3$ )
930	3292 (425)	0.001 ( $\pm 0.001$ )	6.2 ( $\pm 0.1$ )	44.3 ( $\pm 0.4$ )	38.9 ( $\pm 0.1$ )	10.6 ( $\pm 0.2$ )
1290	4565 (589)	0.0 ( $\pm 0.0$ )	4.4 ( $\pm 2.6$ )	44.0 ( $\pm 1.5$ )	40.4 ( $\pm 1.0$ )	11.2 ( $\pm 0.4$ )
1650	5839 (753)	0.0 ( $\pm 0.0$ )	4.9 ( $\pm 2.3$ )	41.9 ( $\pm 1.8$ )	41.6 ( $\pm 0.6$ )	11.6 ( $\pm 0.2$ )

The RTD probe was bent to approximately  $40^\circ$ , 13.97 cm from the probe tip (to allow access to the suspension during processing), and inserted to a depth of 6.19 cm at 1.2 cm radial distance from the ultrasonic probe centerline. Temperature was recorded at 11-second intervals during ultrasonification. The cooling characteristic curve of the system (probes, suspension, centrifuge tube, insulation material) was determined by heating a soil suspension to  $40^\circ\text{C}$ , recording temperature at 1010-second intervals until the suspension reached room temperature, and fitting the data with a 6th-order polynomial regression function (using JMP statistical software, version 6, SAS Institute, Inc., 1989–2005). Heat capacity of the container (i.e. centrifuge tube, insulation material, probes) was  $30.3 \pm 0.5 \text{ J } ^\circ\text{C}^{-1}$  (SD)  $^\circ\text{C}^{-1}$ , estimated according to method of mixtures as presented in Roscoe et al. (2000).

Error propagation and uncertainty of all derived statistics were calculated according to Arras (1998).

#### 2.4. Particle-size analysis

Particle-size analysis of processed soil suspensions was performed using a Beckman-Coulter LS-230 laser-light diffraction particle-size analyzer. Particle-size analysis of sonicated samples was completed within approximately 4–7 h of ultrasonic treatment. Samples were poured into the detection chamber pool, and diluted to the optimal device levels. Information obtained from the LS-230 PSD analysis included the volume (percent of total) of the soil particle-size fractions:  $<1000 \mu\text{m}$ ,  $<250 \mu\text{m}$ ,  $<20 \mu\text{m}$ , and  $<2 \mu\text{m}$ . From these data, the percent volume of the discrete particle-size ranges [1000–2000  $\mu\text{m}$ ], [250–1000  $\mu\text{m}$ ], [20–250  $\mu\text{m}$ ], [2–20  $\mu\text{m}$ ], and [0.04–2  $\mu\text{m}$ ] was calculated. These particle-size fractions are labeled as tiers A through E, respectively.

#### 2.5. Nonlinear regression analysis

For the example analysis, the model system of equations was expanded to 5 PSD tiers – [1000–2000  $\mu\text{m}$ ], [250–1000  $\mu\text{m}$ ], [20–250  $\mu\text{m}$ ], [2–20  $\mu\text{m}$ ], and [0.04–2  $\mu\text{m}$ ] – with a maximum of 2 unique terms representing distinct aggregate cohorts included per tier. Nonlinear regression analysis of ultrasonic processing data for each tier was performed using JMP statistical software (JMP, version 6, SAS Institute, Inc., 1989–2005), using the JMP “Analytic NR’ NR” iterative solving method. To minimize error propagation, regression analysis was performed simultaneously for all selected PSD tiers. This was achieved using JMP by organizing the model system of equations into a single stepwise function, and assigning each tier a unique range of energy values (Fristensky, 2007).

To obtain a regression model consisting of the fewest number of parameters (i.e. the simplest model) needed to adequately explain the data, variables selection procedures (both statistical and heuristic) were conducted. Heuristically, regression model selection proceeded

according to the same basic concept guiding model development; namely, aggregate comminution proceeds from larger particles to smaller. Accordingly, variable selection began with the parameters representing cohorts within the coarsest tier, which were allowed to explain as much variation as possible throughout all finer tiers. Additional terms representing cohorts in finer tiers were then added to the model, competing with parameters previously entered into the model. *F*-tests were employed throughout this process to determine whether the reduction in the model error sum of squares (SSE) attained by inclusion of additional parameters was statistically significant when considering the associated loss of model degrees of freedom (Kutner et al., 2005). If the model successfully converged to the specified criterion, JMP was used to obtain confidence limits (CLs) for all parameters. If CLs bounded zero at the 95% level, the associated term was excluded from the analysis, and the (reduced) model was re-evaluated. If two modeled cohorts were found to possess reaction rate constants that did not significantly differ at the 95% level, they were considered to represent the same cohort. Also, in accordance with aggregate hierarchy theory and the porosity exclusion principle (Dexter, 1988), it was expected (although not strictly assumed) that larger aggregates would exhibit larger reaction rate constants compared to smaller aggregates. This overall model selection approach described above was not quite sufficient to obtain an appropriate model. It was clear at certain stages that the “best” fit either did not make physical sense (e.g. negative asymptote), or did not exhibit the expected form (e.g. a straight line fit due to outliers or large variance, where a curvilinear distribution was observed). Visual inspection of a graphical plot of the model throughout the variable selection process was very useful in identifying potential outliers as well as inappropriate parameter terms or values.

Once an appropriate model was selected, JMP was used to obtain the following statistics:

- parameter estimates, and associated confidence limits (CLs);
- SSE and mean square error (MSE) for both the whole model and for all individual tiers; and
- the variance–covariance matrix of all model parameters.

As a note, estimated CLs provided by JMP for nonlinear regression may not be symmetric about the expected value (SAS Institute, Inc., 2005). Functions of the estimated model parameter values were evaluated to derive the following secondary statistics:

- volume of (measurable) aggregated particles within each cohort and each tier;
- total volume of liberated particles from all tiers; and
- the volume of liberated particles contributed from each cohort to each tier.

The standard error of all secondary statistics were estimated according to Arras (1998), with associated CLs calculated according to Kragten (1994).

## 527 2.6. Model comparisons

528 Results of the proposed model were compared with the results of  
 529 alternative models: a single exponential approach (or decay) function  
 530 (see Eq. (4), where  $F_0$  is the initial PSD tier volume, and  $A_0$  is the total  
 531 volume of particles liberated from the PSD tier); and the ALDC (Field  
 532 and Minasny, 1999). These alternative models were fitted to experi-  
 533 mental data using the nonlinear regression platform of JMP statistical  
 534 software. Confidence intervals for all estimated parameters were  
 535 obtained as described above. The alternative models considered for  
 536 comparison are “nested” within the proposed (“full”) model. There-  
 537 fore, the relative appropriateness of the models can be compared  
 538 using the  $F$ -test to determine whether the reduction in the model SSE  
 539 attained by the inclusion of additional parameters is statistically  
 540 significant when considering the associated loss of degrees of freedom  
 541 (Kutner et al., 2005, p. 72–73). In other words, if the “reduced” model  
 542 is assumed to be the correct model ( $H_0$ ), the  $F$ -test ascertains the  
 543 probability that the smaller SSE of the “full” model is due to random  
 544 variation in the data. If this probability is very low (e.g.  $p < 0.05$ ), the  
 545 full model is taken as statistically more appropriate than the reduced  
 546 model ( $H_a$ ).

547 The simple exponential approach (or decay) function was fitted to  
 548 data representing the  $<2 \mu\text{m}$ ,  $2\text{--}20 \mu\text{m}$ ,  $<20 \mu\text{m}$ , and  $250\text{--}1000 \mu\text{m}$   
 549 particle-size fractions. The ALDC (Field and Minasny, 1999) model was  
 550 applied to the experimental dataset by simultaneous nonlinear  
 551 regression analysis of the  $>250 \mu\text{m}$  and  $<20 \mu\text{m}$  fractions. Parameter  
 552 estimates were obtained for  $k_1$ ,  $k_2$ , and  $A_0$  according to the equations  
 553 provided in Field and Minasny (1999). The model

$$554 \text{ALDC} = -A_0[\exp(-k_1E) - \exp(-k_2E)] + C_2 \quad (12)$$

555 was then applied to the  $20\text{--}250 \mu\text{m}$  fraction, by inserting the  
 556 parameter estimates obtained earlier. In this analysis,  $C_2$  was allowed  
 557 to vary freely to account for the initial volume.

558 2.7.  $E_{25}$ ,  $E_{50}$ , and  $E_{75}$ 

559 Similar to Fuller and Goh (1992), comparisons of aggregate stability  
 560 in this study are based on the level of energy required to reach  
 561 benchmark states of aggregate breakdown. The indices selected for  
 562 comparison are  $E_{25}$ ,  $E_{50}$ , and  $E_{75}$ , the energy ( $\text{J g}^{-1}$ ) required to liberate

25%, 50% and 75%, respectively, of the aggregated particles within a  
 563 given PSD tier. Three states of soil disruption were selected in order to  
 564 highlight relative soil behavior across a wide range of applied energy.  
 565 However, inverse predictions of the energy required to reach a  
 566 particular state of breakdown are not trivial to calculate when more  
 567 than one rate constant characterizes aggregate breakdown within a  
 568 PSD tier of interest. For example, consider the following 2-cohort  
 569 model for a given PSD tier, describing strictly the volume of  
 570 aggregated particles ( $b_1 + b_2$ ):

$$571 A = b_1e^{-a_1E} + b_2e^{-a_2E} \quad (13)$$

The energy term  $E$  cannot be isolated through algebraic manipulation: 574

$$575 E = \frac{-\ln\left(\frac{A - b_1e^{-a_1E}}{b_2}\right)}{a_2} \quad (14)$$

In order to obtain a prediction of  $E$  at a given level of  $A$ , numerical  
 576 approximation methods must be invoked. Here, Mathematica (version  
 577 5.1.0.0, Champaign, IL, 1988–2004) was utilized for numerical solving,  
 578 using the *FindRoot* function. Confidence limits for  $E_{25}$ ,  $E_{50}$ , and  $E_{75}$   
 579 were estimated according to the method of Alvord and Rossio (1993),  
 580 again using Mathematica for numerical solving. 582

## 583 3. Results and discussion

## 584 3.1. Particle-size analysis

Laser-light diffraction particle-size analysis of treated samples  
 585 provided precise results for all selected PSD tiers and across all levels  
 586 of applied ultrasonic energy (Table 3). Fig. 3 graphically presents the  
 587 PSD data for each tier as a function of applied energy. The results  
 588 indicate both precision in the PSD measurement method and high  
 589 reproducibility of the ultrasonic tests. Notably, steady changes in the  
 590 volume of macroaggregate ( $>250 \mu\text{m}$ ) PSD tiers were observed with  
 591 increasing energy application, indicating that the laser-light technique  
 592 is able to resolve the progressive breakdown of macroaggregate  
 593 subgroups under ultrasonification. Because the laser-light diffraction  
 594 method requires no separate, disruptive treatment for large particle-  
 595 sizes (e.g. wet sieving), these results demonstrate this method to be  
 596

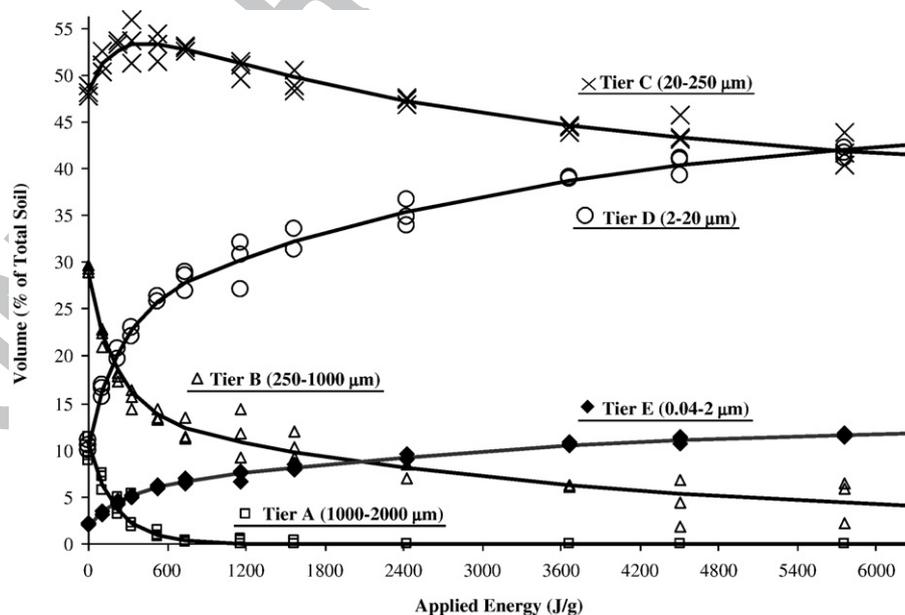


Fig. 3. Simultaneous nonlinear regression modeling of ultrasonic processing data for all selected PSD tiers.

aply suited for targeted ultrasonic stability assessment of both microaggregates (<250 μm) and macroaggregates (250–2000 μm).

3.2. Aggregate stability and comminution modeling

The aggregate fragmentation model developed above was successfully fit by nonlinear regression to the particle-size data obtained from the ultrasonic processing treatments (Fig. 3). Parameter estimates of the regression model are presented in Table 4, along with associated confidence limits.

Two aggregate cohorts (1 and 2; Table 4) of significantly ( $p < 0.005$ ) different stability were detected within the studied soil, both composed of macroaggregates (>250 μm). Cohort 1 was represented within PSD tiers A (1000–2000 μm) and B (250–1000 μm), and cohort 2 was represented within tiers B and C (20–250 μm). The rate constant describing the breakdown rate of cohort 1 aggregates ( $0.004749 \text{ g J}^{-1}$ ) was significantly greater than that of cohort 2 ( $0.000325 \text{ g J}^{-1}$ ) at the 99.5% confidence level, indicating that cohort 2 aggregates have significantly greater ultrasonic stability than the relatively coarser cohort 1 aggregates. This difference in stability can be observed in Fig. 3. Tier A aggregates (composed of only cohort 1 aggregates) are seen to break down more rapidly than tier B aggregates, the latter including both cohort 1 and cohort 2 aggregates. Note that the two curves are qualitatively similar at low levels of applied energy (e.g.  $< 150 \text{ J g}^{-1}$ ), where changes in the volume of each tier are due largely to comminution of the relatively unstable cohort 1 aggregates. At greater levels of applied energy (e.g.  $> 330 \text{ J g}^{-1}$ ), very few cohort 1 aggregates remain intact, and change in the volume of tier B with increasing energy reflects only the (relatively slower) comminution of cohort 2 aggregates. North (1976) offered a similar interpretation regarding the observed comminution of >2 μm aggregates under ultrasound, suggesting that the early, rapid change in volume was due to breakdown of weak aggregates, and the “plateau” region of the curve at high energies reflected the breakdown of smaller, more-stable aggregates. Note also that these different rates of breakdown are reflected in the relative rates of accumulation of liberated particles in tiers D and E across corresponding ranges of applied energy. The proposed model identifies where such corresponding rates of change are occurring throughout ultrasonification in order to complete the dynamic mass balance and determine the volume of particles of a given size liberated from aggregates of a particular stability. This enabled calculation of the PSD of particles liberated from cohort 1 to cohort 2 aggregates (presented below).

The volume (percent of total soil) of cohort 1 and cohort 2 aggregates was found to be similar at 24.8 and 29.7, respectively (Table 4).

The total volume of tier A, B, and C aggregates, irrespective of cohort affiliation, was 10.61, 26.2, and 17.62; indicating that approximately 68% of all detected soil aggregates were macroaggregates, of which roughly 30% were 1000–2000 μm. No aggregates were detected in tier D (2–20 μm). All volume changes of tier D were the result of liberation of either primary particles (or highly-stable microaggregates) from aggregates >20 μm. This is illustrated in Fig. 3, where accumulation of particles in tier D occurs continually throughout sonication, and at rates corresponding to rates of breakdown of cohort 1 and cohort 2 aggregates (this is also true for tier E). It should be noted, however, that complete disaggregation of >20 μm material was not quite achieved by the application of  $5761 \text{ J g}^{-1}$  of ultrasonic energy. It may be that with added energy a loss of volume would be observed in the 2–20 μm tier (indicating the presence of aggregates). Yet, in comparison to the maximum energies required to reach dispersion for the >2 μm fractions in Raine and So (1993) (approximately  $1000 \text{ J g}^{-1}$  at 8.9 W) and Field and Minasny (1999) (approximately  $1800 \text{ J g}^{-1}$  at 4.2 W) for studied Vertisols, the maximum applied energy in this study ( $5761 \text{ J g}^{-1}$  at 14.2 W) is relatively large. This suggests that the observed 2–20 μm liberated particles are primary particles, or microaggregates unsusceptible to fragmentation by the power of applied ultrasound used in this study.

Interestingly, the volume of tier C (20–250 μm) exhibited an initial rise between  $t=0$  and  $t=90 \text{ s}$  of applied energy, followed by a steady decline during the remainder of the treatment (Table 3, Fig. 3). Modeling results indicate that the initial accumulation of 20–250 μm particles is due to the comminution of cohort 1 (>250 μm) aggregates, and the subsequent decline is due to the comminution of cohort 2 aggregates that liberated <20 μm particles. Similar behavior was observed by Oades and Waters (1991) for an Alfisol and a Mollisol subjected to a range of disruptive energy, where particles 20–250 μm were liberated from fragmented macroaggregates >250 μm, followed by breakdown of 20–250 μm particles to <20 μm particles. Levy et al. (1993) also observed a stepwise breakdown of aggregates under a range of applied ultrasonic energy. Field and Minasny (1999) and Field et al. (2006) modeled the accumulation and subsequent decline in the mass of PSD intervals between 2–20 μm and 2–100 μm for different soils subject to ultrasonic treatment, according to an analogue of a first-order consecutive kinetic reaction. These researchers interpreted the observed stepwise breakdown of aggregates to indicate the possible presence of a soil hierarchy, based upon the reasoning that soils with a hierarchy would be expected to exhibit a stepwise decline in breakdown rate as a soil is progressively agitated, reflecting the progressive fragmentation of larger aggregates and consequent liberation of smaller, hierarchically subordinate aggregates of greater stability.

This interpretation may indeed be accurate with respect to the behavior of tier C. However, the proposed model does not assume a hierarchical breakdown of aggregates, or that aggregates of differing stability are necessarily hierarchically related. Therefore, the model does not preclude the possibility that the observed accumulation and subsequent decline tier C volume is due to the release of primary particles from cohort 1 aggregates, offset by comminution of cohort 2 aggregates that existed discretely (i.e. not bound up in cohort 1 aggregates) before treatment. Indeed, two modeling results lend support to this latter interpretation. First, a considerably greater volume of cohort 2 particles (17.2% of soil total) was lost from tier C than was gained from cohort 1 (8.4%), suggesting that at least approximately half of tier C cohort 2 aggregates existed discretely prior to disturbance. Second, considering that the accumulation of primary particles (or highly-stable microaggregates) within tier D (2–20 μm) is partly due to the direct breakdown of cohort 1 aggregates to particles of this size, it seems reasonable to expect that some accumulation of primary/stable particles  $\approx 20 \text{ μm}$  or larger also occurred within tier C, and are responsible for at least part of the observed rise in tier C volume. Considering these two observations together, the alternative interpretation of tier C behavior appears plausible. Another possibility is that the observed is due to

Table 4 Model parameter estimates with 95% confidence limits

Cohort	Parameter	Estimate	95% CL (-)	95% CL (+)	
1 (250–2000 μm)	$a_1$	0.004979	0.004431	0.005597	
	$b_{1A}$	10.61	9.74	11.49	
	$b_{1B}$	14.15	12.76	15.51	
	$b_{1C}$	0	–	–	
	$b_{1D}$	0	–	–	
	$\int_0^{x_0} p_{1A}(x) dx$	1.00	–	–	
	$\int_0^{x_0} p_{1AB}(x) dx$	0.66	0.60	0.72	
	$\int_0^{x_0} p_{1ABC}(x) dx$	0.19	0.12	0.26	
	2 (20–1000 μm)	$A_2$	0.000325	0.000248	0.000405
		$b_{2B}$	12.05	10.47	13.78
$b_{2C}$		17.62	16.04	19.70	
$b_{2D}$		0	–	–	
$\int_0^{x_0} p_{2B}(x) dx$		1.00	–	–	
$\int_0^{x_0} p_{2BC}(x) dx$		0.26	0.22	0.30	
$\delta_{0A}$		0	–	–	
$D_{0B}$		28.76	27.85	29.66	
$D_{0C}$		48.37	47.45	49.28	
$D_{0D}$		10.25	9.34	11.16	
	$D_{0E}$	1.92	1.04	2.79	

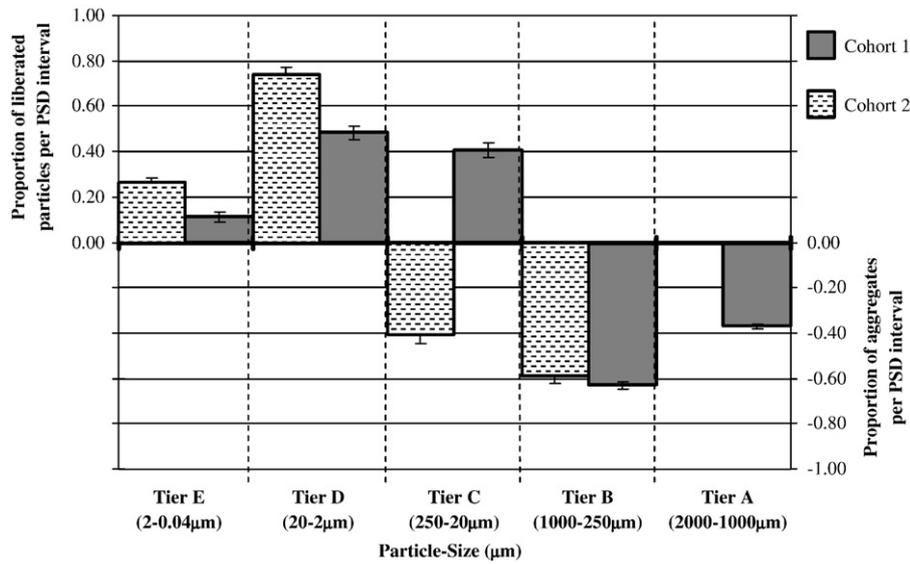


Fig. 4. PSD of cohort 1 and cohort 2 aggregates (below axis) and their fragments (above axis) ±95% confidence intervals.

706 accumulation of both primary particles and liberated aggregates.  
 707 Without additional physical evidence, uncertainty exists regarding  
 708 which scenario is accurate. Though not performed here, one way to  
 709 gain a clearer picture of the relationship between the two observed  
 710 cohorts would be to re-apply the model to a newly selected set of  
 711 PSD partitions that provides greater resolution within the 20–  
 712 250 µm fraction. Because an essentially continuous soil PSD was  
 713 obtained from the laser-light technique, an unlimited number of  
 714 such iterations could be conducted without the requirement of  
 715 additional labwork. However, it is worth noting that owing to model  
 716 independence from assumptions regarding soil hierarchy, calcula-  
 717 tions of the volume, stability, and PSD of liberated particles of cohort  
 718 1 or cohort 2 aggregates do not depend upon identifying whether  
 719 these aggregates are hierarchically related.

720 Significant differences ( $p < 0.05$ ) were observed in the PSD of particles  
 721 liberated from cohort 1 and cohort 2 aggregates. Fig. 4 is analogous to the  
 722 conceptual model displayed in Fig. 1, illustrating the size distribution of  
 723 cohort 1 and cohort 2 aggregates (below the axis), as well as the  
 724 distribution of their respective liberated particles (above the axis).

725 The distributions in Fig. 4 are discrete blocks, rather than continuous  
 726 as in Fig. 1, representing the average values of the  $p_i(x)$  and  $q_i(x)$  within  
 727 the selected PSD tiers. The PSD of particles liberated from cohort 1 is  
 728 coarser than that of cohort 2; i.e. particles liberated from cohort 2  
 729 aggregates are comprised of a significantly greater proportion of clay-  
 730 sized (<2 µm) and fine silt-sized (2–20 µm) particles than cohort 1

731 aggregates. Only cohort 1 was found to be comprised of particles 20–  
 732 250 µm in size. These results suggest that with a mild agitation applied to  
 733 the soil (i.e. disrupting the relatively weak cohort 1 aggregates, but not  
 734 necessarily the more-stable cohort 2 aggregates), aggregate comminution  
 735 would result principally in the release of roughly equal proportions  
 736 2–20 µm and 20–250 µm particles, with a relatively small fraction of clay  
 737 released. In contrast, a relatively more energetic disruption of the soil  
 738 may result in the release of much larger amounts of clay and fine silt, due  
 739 to comminution of the relatively stable cohort 2 aggregates.

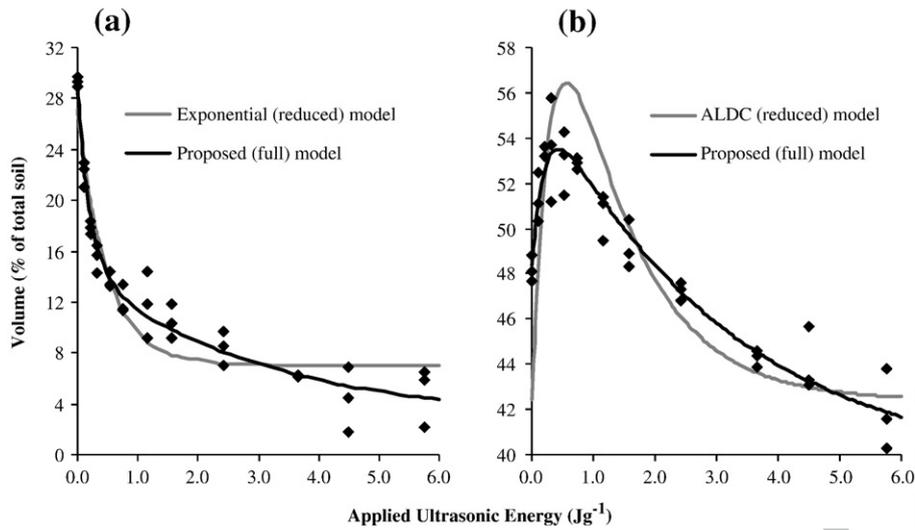
3.3. Model comparison

740 In previous studies (e.g., Fuller and Goh, 1992; Levy et al., 1993;  
 741 Raine and So, 1993), exponential functions involving only a single rate  
 742 constant were used to model the breakdown of aggregates within  
 743 selected PSD intervals. Such models obtain a single constant  
 744 describing the rate of breakdown of all aggregates with the selected  
 745 interval. However, aggregates of differing stability may exist within a  
 746 given particle-size interval, breaking down at different rates. In the  
 747 current instance, allowing for the presence of aggregates of distinctly  
 748 different stability (i.e. allowing more than one rate constant to  
 749 describe aggregate breakdown) obtains a significantly ( $p < 0.0001$ )  
 750 better fit than modeling these tiers according the simple decay  
 751 function used in the cited studies. Table 5 presents the results of  $F$ -test  
 752 comparisons between the proposed model (“full model”) and an  
 753

Table 5  
 F-test model comparisons (single exponential vs. proposed) for tiers B, D, E

Tier	Model Parameter	Exponential (reduced)		Proposed (full)						Conclude <sup>a</sup>	
		$F_0$		$A_0$	$k$	$D_0$	$b_{j1}^*$	$b_{j2}^*$	$a_1$		$a_2$
B	Estimate	7.01		20.13	0.0021	28.76	14.15	12.05	0.004979	0.000325	Reject $H_0$
	MSE	5.52				2.11					
	F-value	27.62									
	Critical $F_{\alpha}^c$	12.58									
D	Estimate	13.77		26.09	0.000971	10.25	13.12	21.96	0.004979	0.000325	Reject $H_0$
	MSE	4.32				1.01					
	F-value	54.96									
	Critical $F_{\alpha}^c$	12.58									
E	Estimate	2.92		8.52	0.000676	1.92	2.72	7.71	0.004979	0.000325	Reject $H_0$
	MSE	0.26				0.08					
	F-value	35.15									
	Critical $F_{\alpha}^c$	12.58									

<sup>a</sup> Null ( $H_0$ ) and alternative ( $H_a$ ) hypotheses explained in text.  
<sup>b</sup> The  $b_j^*$  are the total volume contributed to or lost from the  $j$ th tier due to the  $i$ th cohort.  
<sup>c</sup> The critical  $F$ -value for  $\alpha=0.0001$ .



**Fig. 5.** Comparison of the regression fit of the proposed (full) model vs. alternative (reduced) models. (a) The proposed model vs. simple exponential decay for tier B (250–1000  $\mu\text{m}$ ). (b) The proposed model vs. the ALDC (Field and Minasny (1999)) for tier C (20–250  $\mu\text{m}$ ).

exponential decay (or approach) function (“reduced model”). The full model was found to be statistically more appropriate than the reduced model for PSD tiers B, D, and E. Fig. 5(a) illustrates the improved fit of the full model relative to the reduced model. These results support the finding of the proposed model that tier B aggregates consisted of two groups of aggregates with distinctly different stability (cohorts 1 and 2), and that volume changes in tiers D and E ultrasonification are due to the breakdown of both cohort 1 and cohort 2 aggregates. Notably, for all three tiers (B, D, E), the value of the estimated rate constant for the reduced model was between those of the two rate constants obtained for the full model (Table 5). Also, the reduced model estimates of the volume of aggregates or accumulated particles in each tier were always smaller than those obtained by the full model.

The results of the proposed model for tier C were compared with those obtained by fitting the ALDC (Field and Minasny, 1999) to this dataset. It should be noted that the ALDC assumes that the modeled aggregates comminute in a stepwise fashion according a particular consecutive reaction pathway, with the steps corresponding to the selected PSD intervals (in this case,  $<2 \mu\text{m}$ ,  $20\text{--}250 \mu\text{m}$ , and  $>250 \mu\text{m}$ ) (Field and Minasny, 1999; Field et al., 2006). Consequently, because the PSD range of tier C was selected irrespective of expectations regarding the structure or hierarchy of the studied soil aggregates, the ALDC may not be appropriate in this instance, and therefore comparisons between the two models may not be valid. However, considering that no alternative model except the ALDC currently exists for analysis

of the type of behavior displayed in tier C, comparison of the two models seems justified. Results of an  $F$ -test comparison between the proposed model and the ALDC are presented in Table 6; indicating that the full model is statistically ( $p < 0.0001$ ) more appropriate than the ALDC for this dataset. Notably, the ALDC predicts a significantly ( $p > 0.005$ ) larger rate constant ( $0.00063 \text{ g J}^{-1} \leq k_2 \leq 0.00127 \text{ g J}^{-1}$ ) describing the breakdown of  $20\text{--}250 \mu\text{m}$  aggregates compared to that of the full model ( $0.00022 \leq a_2 \leq 0.00044$ ), as illustrated in Fig. 5(b). Hence, in this instance, the ALDC predicts  $20\text{--}250 \mu\text{m}$  aggregates to be less stable than predicted by the proposed model.

In addition to the potential enhancements in detecting, resolving, and modeling aggregates of differing stability afforded by the proposed model, simultaneous analysis of multiple particle-size intervals spanning both microaggregate and macroaggregate fractions may also aid interpretation of soil dispersion data. For instance, fitting an exponential approach model individually to the  $<20 \mu\text{m}$  and  $<2 \mu\text{m}$  fractions of the studied soil obtains estimated rate constants of  $a_{20 \mu\text{m}} = 0.000885$  and  $a_{2 \mu\text{m}} = 0.000676$ , respectively. Considering only the  $>20$ ,  $<20$ , and  $<2 \mu\text{m}$  PSD intervals, the relationship  $a_{20 \mu\text{m}} > a_{2 \mu\text{m}}$  might suggest the existence of  $2\text{--}20 \mu\text{m}$  microaggregates according to the interpretation offered by Field and Minasny (1999). However, results of the proposed model indicate that the observed differences in the rate of change of the  $<20 \mu\text{m}$  and  $<2 \mu\text{m}$  fractions are due to different rates of breakdown of cohort 1 and cohort 2 macroaggregates, which directly liberate different proportions of  $2\text{--}20 \mu\text{m}$  and  $<2 \mu\text{m}$  particles. Yet without simultaneously investigating several discrete PSD fractions in

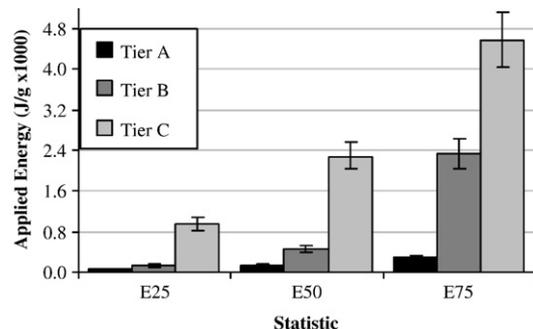
**Table 6**  
 $F$ -test comparison for the ALDC and proposed models for tier C

Model	Parameter	Estimate	MSE	$F_c$ -value (critical $F_{\alpha}^c$ )	Conclude <sup>b</sup>
ALDC (reduced)	$C_2$	42.40	7.84	52.59 (10.12)	Reject $H_0$
	$A_0$	32.73			
	$k_1$	0.0031057			
Proposed (full)	$k_2$	0.0008992	1.34		
	$D_0$	48.37			
	$b_{1A}$	10.61			
	$b_{1B}$	14.15			
	$\int_0^{x_c} p_{1AB}(x) dx$	0.66			
	$b_{2C}$	17.62			
	$a_1$	0.004979			
	$a_2$	0.000325			

<sup>a</sup>The critical  $F$ -value for  $\alpha=0.0001$ .

<sup>b</sup>Null ( $H_0$ ) and alternative ( $H_a$ ) hypotheses explained in text.

<sup>c</sup>The critical  $F$ -value for  $\alpha=0.0001$ .



**Fig. 6.** The ultrasonic energy required to disrupt 25% ( $E_{25}$ ), 50% ( $E_{50}$ ), and 75% ( $E_{75}$ ) of all aggregates for tiers A (1000–2000  $\mu\text{m}$ ), B (250–1000  $\mu\text{m}$ ), and C (20–250  $\mu\text{m}$ ).

805 both the microaggregate and macroaggregate fractions, we might have  
806 alternatively concluded that the studied soil possesses microaggregates  
807 of size  $2\text{--}20\ \mu\text{m}$  that breakdown at rate  $\alpha_{2\ \mu\text{m}}$

### 808 3.4. Stability indices $E_{25\%}$ , $E_{50\%}$ , and $E_{75\%}$

809 Throughout the previous discussion, the breakdown and stability  
810 of aggregates throughout the soil has been described in terms of the  
811 behavior of aggregate cohorts. However, as the number, particle-size  
812 domain, stability, and interrelationships of aggregate cohorts will vary  
813 between different soils, characterizing aggregate stability in terms of  
814 cohort behavior is not amenable to comparative analyses. Quantifying  
815 aggregate stability according to the behavior of discrete PSD partitions  
816 (e.g.  $250\text{--}1000\ \mu\text{m}$ ) facilitates comparison between different soils.  
817 Similar to Fuller and Goh (1992) who calculated the ultrasonic energy  
818 required to disrupt 50% of aggregates ( $E_{50}$ ), based upon results of the  
819 proposed model we calculated the level of ultrasonic energy required  
820 to observe a 25%, 50%, and 75% reduction in the total volume of all  
821 aggregates within a particular PSD tier. These three energy levels  $E_{25}$ ,  
822  $E_{50}$ , and  $E_{75}$ , respectively. Estimates of these levels for tiers A, B, and C  
823 are illustrated in Fig. 6. The  $E_{50}$  of all tier A aggregates was  $146\ \text{J g}^{-1}$ .  
824 This level of energy was significantly ( $p < 0.005$ ) less than  $E_{50}$  for tier B,  
825 at  $451\ \text{J g}^{-1}$ ; of which was also significantly ( $p < 0.005$ ) less than  $E_{50}$  for  
826 tier C ( $2279\ \text{J g}^{-1}$ ). Identical rankings were obtained for these three  
827 tiers with respect to the  $E_{25}$  and  $E_{75}$  statistics at the  $\alpha=0.05$  level.

828 As above, these results indicate that the stability of aggregates  
829 declines significantly with increasing size. The  $1000\text{--}2000\ \mu\text{m}$   
830 aggregates are the least-stable aggregates observed in this soil,  
831 showing relatively rapid disruption with applied energy. Considering  
832 that the aggregate cohort represented within this particle-size  
833 interval was found to directly liberate 60% of its volume as  $<20\ \mu\text{m}$   
834 particles, and approximately 10% as clay ( $2\ \mu\text{m}$ ), disruption of these  
835 aggregates by rainfall impact may lead to reduced infiltration and  
836 increased runoff due to loss of high conductivity  $>100\ \mu\text{m}$  pores (Oades,  
837 1984) and formation of a structural crust (Moss, 1991). The increased  
838 transport capacity of overland flow due to increased runoff volume,  
839 together with an increase in fine particles with low settling rates detached  
840 from disrupted aggregates, may enhance erosion potential (Owoputi and  
841 Stolte, 1995; Green and Hairsine, 2004). However, the degree to which the  
842 ultrasonic stability indices presented above relate to soil erodibility has not  
843 been ascertained here. Further research relating these indices to, for  
844 example, rainfall simulation variables (similar to Legout et al., 2005 and Le  
845 Bissonnais et al., 2007) will help assess the facility of the presented method  
846 in predicting soil susceptibility to erosion.

### 847 4. Summary and conclusions

848 The model and experimental approach described above provides a  
849 method for analyzing the comminution and ultrasonic stability of  
850 aggregates across several PSD partitions spanning both the macroag-  
851 gregate ( $<250\ \mu\text{m}$ ) and macroaggregate ( $>250\ \mu\text{m}$ ) fractions. Indepen-  
852 dence of the proposed model from assumptions regarding the  
853 constituent particle-size or hierarchical structure of aggregates confers  
854 universal applicability, and greater flexibility relative to alternative  
855 methods. Expanding the model to the simultaneous analysis of several  
856 particle-size intervals enables researchers to investigate aggregate  
857 comminution dynamics throughout any set of PSDs partitions selected  
858 according to individual research interests. For a studied Dystroxerept  
859 subject a range of ultrasonic energy, the proposed model statistically  
860 outperformed alternative models in accounting for observed changes in  
861 the total volume of 4 out of 5 selected microaggregate and macro-  
862 aggregate fractions, and offered greater resolution of aggregate  
863 comminution dynamics and the PSD of particles liberated from groups  
864 of aggregates exhibiting similar stability. Possible evidence of a  
865 hierarchical relationship was detected between two group aggregates  
866 exhibiting distinctly different stability; however, additional evidence

(e.g. varying or increasing the number of selected PSD partitions) was  
needed to rule out alternative explanations of the observed behavior.

867 Similar to existing methods, the proposed model assumes that 869  
breakdown of a quantity of aggregates follows exponential decay 870  
under ultrasonification. While this model has obtained a good 871  
regression fit of experimental data both here and in previous 872  
ultrasonic studies, it may not be appropriate for all soils or at all 873  
particle-size scales. In addition to this fundamental assumption, 874  
potential limitations of the proposed model include: (1) under- 875  
estimation of the mass or volume of aggregates of a particular size, 876  
due to the inability to detect a redistribution of particle-sizes within a 877  
given PSD interval; (2) inability to detect whether particles are being 878  
accumulated and lost from a PSD interval at an identical rate, possibly 879  
leading to inaccurate identification of the size (though not of the 880  
stability) of source aggregates of liberated particles; and (3) possible 881  
variations in modeling results due to (1) and (2) as the number and 882  
particle-size domain of the selected PSD intervals changes. Increasing 883  
the number of selected PSD intervals will increase modeling 884  
resolution and mitigate errors arising from (1) and (2); but the extent 885  
to which this is possible is limited by the rapid increase in model 886  
complexity with added PSD partitions; by the resolution of the PSD 887  
measurement technique; and by soil variability. 888

889 The laser-light diffraction technique for particle-size analysis was  
critical to this analysis, providing precise, non-disruptive measure- 890  
ments of changes in volume of both microaggregate and macroag- 891  
gregate fractions; and demonstrating that ultrasonic methods can be 892  
usefully employed for targeted stability assessment of macroaggregate 893  
subgroups. Analysis of different macroaggregate subgroups offered 894  
enhanced resolution of aggregate comminution dynamics, and helped 895  
explain the variation observed in finer PSD intervals throughout the 896  
ultrasonic treatment. Altogether, the model and experimental 897  
approach presented here offered insight into the stability, constituent 898  
PSD, and comminution dynamics of soil aggregates. Both the flexibility 899  
of the proposed model and extension of ultrasonic stability assess- 900  
ment to simultaneous analysis of both microaggregate and macro- 901  
aggregate subgroups can facilitate broader application of ultrasonic 902  
methods for soil processes related research. 903

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